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PTO/SB/05 (08-00)

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 4969 USA/ISM/COPPER/SB

First Inventor or Application Identifier Mok

Title INTEGRATED SEMICONDUCTOR SUBSTRATE BEVEL CLEANING
APPARATUS AND METHOD

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Express Mail Label No. ELCC0105459US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents

- 1 ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- 2 ☐ Applicant claims small entity status
See 37 CFR 1.27
- 3 ☒ Specification [Total Pages 22]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 4 ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
- 5 Oath or Declaration [Total Pages 4]
 - a ☒ Newly executed (original or copy)
 - b ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 17 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application: see 37 CFR
1.63(d)(2) and 1.33(b)
- 6 ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 7 ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
- 8 Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a ☐ Computer Readable Form (CRF)
 - b Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - c ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

- 9 ☒ Assignment Papers (cover sheet & document(s))
- 10 ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney
- 11 ☐ English Translation Document (if applicable)
- 12 ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
- 13 ☐ Preliminary Amendment
- 14 ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
- 15 ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16 ☒ Other: Priority Claim

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____ /
Prior application information Examiner _____ Group, Art Unit _____

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below:
(Insert Customer No. or Attach bar code label here)

Name	Patent Counsel Applied Materials, Inc.				
Address	3050 Bowers Avenue P.O. Box 450A				
City	Santa Clara	State	CA	Zip Code	95052
Country	U.S.A.	Telephone		Fax	

Name (Print/Type) Robert W. Mulcahy

Registration No. (Attorney Agent) 25-436

Signature

Date

2-16-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO
09/785815
U.S.

02/16/01

**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number	Not yet assigned
Filing Date	Herewith
First Named Inventor	Mok
Examiner Name	Not yet assigned
Group / Art Unit	Not yet assigned
Attorney Docket No.	4969 US/ISM/COPPER/SB

TOTAL AMOUNT OF PAYMENT (\$) 746**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit
Account
Number

50-1074

Deposit
Account
Name

Applied Materials, Inc

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17
-
- ☐
- Applicant claims small entity status
-
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed

☐ Check ☐ Credit card ☐ Money
Order ☐ Other
FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$) 710

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
22	-20 = 2	18	36
Independent Claims	3	-3 = 0	80 = 0
Multiple Dependent			0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 36

*For number previously paid, if greater. For Reissues, see above.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2 520	147	2 520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1 840*	113	1 840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	690	217	345	Extension for reply within third month	
118	1 390	218	695	Extension for reply within fourth month	
128	1 890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1 510	138	1 510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1 240	241	620	Petition to revive - unintentional	
142	1 240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
124	180	124	180	Submission of Information Disclosure Stmt	
58*	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee, specify:

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 0

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Robert W. Mulcany

Registration No. Attorney/Agent)

25 436

Telephone

Signature

Date

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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